

# Morgan Lewis

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August 24, 2023

**VIA ECF**

Honorable Frederic Block  
United States District Court Judge  
United States District Court, E.D.N.Y.  
225 Cadman Plaza East  
Brooklyn, New York 11201

Re: ***Oak Fin. Group v. Infinity Q Div. Alpha Fund, et al.***, No. 1:21-cv-03249-FB-MMH  
("***Oak Financial***")

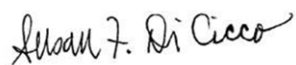
Dear Judge Block:

We represent Defendants Trust for Advised Portfolios ("TAP") and its officers Christopher E. Kashmerick, Russell B. Simon, and Steven J. Jensen in the above-referenced action.

Earlier this year, we informed the Court that this action and related actions had been stayed by order of Judge P. Kevin Castel. ECF No. 49 (Letter regarding Preliminary Approval of Settlement and Request for Extension); *see SEC v. Infinity Q Diversified Alpha Fund*, Case No. 1:22-cv-09608-PKC (S.D.N.Y.) (ECF No. 15, Consented to Order Appointing Special Master and Imposing Litigation Injunction). The Court thereafter issued an electronic order staying this case and instructing counsel to "file under cover letter a copy of the Special Master's periodic reports which are ordered in J. Castel's order."

A copy of the Special Master's First Quarterly Status Report is filed with this letter. We will promptly file in this action future status reports submitted by the Special Master pursuant to Judge Castel's order.

Respectfully submitted,





Susan F. DiCicco

cc: All counsel of record via ECF in *Oak Financial*

**Morgan, Lewis & Bockius LLP**

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1. As of June 30, 2023, the balance of the Special Reserve was \$564,245,473.40. This sum is that portion of the overall Fund that the Fund’s governing board, the Board of Trustees of the Trust for Advised Portfolios (“TAP”), set aside to pay legal defense costs of the Fund and Indemnitees in the pending litigations.
2. In accordance with paragraph 23A of the Order, annexed hereto as **Exhibits A and B** are the Standardized Fund Accounting Reports for the Fund, presented on a cash basis, which I have prepared in the manner prescribed in the SEC’s Standardized Fund Accounting Report appended to the SEC’s Billing Instructions and incorporated in paragraph 29A of the Order. **Exhibits A and B** set forth a summary of operations

of the Fund, including the Special Reserve, for the periods (i) January 10, 2023 through June 30, 2023 and (ii) the quarter ended June 30, 2023.

3. In accordance with paragraph 23B of the order, the Special Reserve balances for the period January 1, 2023 through June 30, 2023 were as follows:

Month	Beginning Balance	Expenses Paid	Ending Balance
Jan-23	566,375,657.37	-	566,375,657.37
Feb-23	566,375,657.37	-	566,375,657.37
Mar-23	566,375,657.37	(103,436.66)	566,272,220.71
Apr-23	566,272,220.71	(1,138,359.40)	565,133,861.31
May-23	565,133,861.31	(446,638.92)	564,687,222.39
Jun-23	564,687,222.39	(441,748.99)	564,245,473.40

4. Pursuant to paragraph 23C of the Order, **Exhibit A** includes a schedule of all of the Special Master's receipts and disbursements for the period beginning on the date of my appointment as Special Master through June 30, 2023.
5. Pursuant to paragraph 23C of the Order, **Exhibit B** includes a schedule of all of the Special Master's receipts and disbursements for the quarter ended June 30, 2023.
6. In accordance with paragraph 23D of the Order, annexed hereto are **Exhibits C-1, C-2 and C-3**, which set forth accrued expenses and liabilities of the Fund. The Fund's creditors are:

- Fund service providers:
  - National Financial Services
  - Pershing LLC
  - RBC Capital Markets
  - Stretto
  - UBS Financial Services
  - U.S. Bancorp Fund Services

- Fund attorneys:
  - Morgan Lewis & Bockius LLP
  - Morris Nichols Arsht & Tunnell LLP
- Indemnatee attorneys (as defined in Exhibit A to the Order):
  - Davis Wright Tremaine LLP (for Quasar Distributors)
  - Duane Morris LLP (for the independent trustees)
- Fund’s Litigation Consultant – Cornerstone Research
- Special Litigation Committee (“SLC”) members:
  - Andrew M. Calamari
  - John Siciliano
- Special Master
- Finn Dixon & Herling LLP, counsel to the SLC and the Special Master
- Fred Naddaff – expert retained by the SLC

**Exhibit C-1** identifies unpaid invoices of these creditors, excluding partially paid invoices, which are set forth in **Exhibit C-2**. The partially paid invoices comprise primarily invoices of the Special Master, the SLC members and Finn Dixon & Herling LLP that are subject to hold-backs under the Order. **Exhibit C-3** sets forth invoices of Duane Morris for legal services provided to the independent trustees for which I have determined that the conditions for payment have not yet been established.

7. In accordance with paragraph 23E of the Order, the foregoing updates the information contained in my First Status Report dated February 9, 2023. Pursuant to a Stipulation and Order filed on March 30, 2023, my recommendations, if any, for possible

amendments to the Plan of Distribution described on page 2 of the Order shall be filed within thirty (30) days of the date of a decision by New York Supreme Court to approve or reject class action settlements that are before the court.

Dated: Stamford, CT  
July 18, 2023

Respectfully submitted,



Andrew M. Calamari

# EXHIBIT A

STANDARDIZED FUND ACCOUNTING REPORT for Infinity Q Diversified Alpha Fund - Cash Basis  
Special Master; Civil Court Docket No. 22 Civ 9608 (PKC)  
Reporting Period 01/10/2023 to 06/30/2023

FUND ACCOUNTING:		Detail	Total
Line 1	<b>Beginning Balance (as of 01/10/2023):</b>		\$ 584,075,168.98
	<b><i>Increases in Fund Balance:</i></b>		
Line 2	Business Income		-
Line 3	Cash and Securities		-
Line 4	Interest/Dividend Income - Money Market Dividends		11,085,401.49
Line 5	Business Asset Liquidation		-
Line 6	Personal Asset Liquidation		-
Line 7	Third-Party Litigation Income		-
Line 8	Miscellaneous - Other		-
	<b>Total Funds Available (Lines 1-8):</b>		595,160,570.47
	<b><i>Decreases in Fund Balance:</i></b>		
Line 9	Disbursements to Investors		-
Line 10	<b>Disbursements for Special Master Operations</b>		
Line 10a	<i>Disbursements to Special Master or Other Professionals</i>		
	Special Master	\$ 29,881.60	
	Special Litigation Committee	105,395.61	
	Finn Dixon Herling	489,066.75	
	<b>Total Disbursements for Special Master Operations</b>	<b>624,343.96</b>	
Line 10b	<i>Operating Expenses</i>		
	National Financial Services	420.43	
	Pershing LLC	17,159.35	
	RBC Capital Markets LLC	23.50	
	Stretto	695.00	
	UBS Financial Services	6,173.32	
	U.S. Bancorp Fund Services	5,384.41	
	<b>Total Operating Expenses</b>	<b>29,856.01</b>	
Line 10c	<i>Personal Asset Expenses</i>	-	
Line 10d	<i>Investment Expenses</i>	-	
Line 10e	<i>Third-Party Attorney/Litigation Expenses</i>		
	Cornerstone	128,174.00	
	Davis Wright Tremaine LLP		
	Hunter-Rosenstein	107,844.50	
	Oak Financial Group	273.00	
	Shiavi	318.50	
	Velissaris Government Actions	9,323.00	
	Glenmede Trust	38,345.50	
	Carson Family 2013 Dynasty Trust	94.50	
	Flint Hills Diversified Strategies LP	185.50	
	Duane Morris LLP		
	Corporate Advice	34,446.00	
	Class Action Matters	127,653.14	
	SEC Investigation	164,348.98	
	Oak Financial Group	6,645.95	
	Evercore Investment Banking	3,092.55	
	Delaware Derivative Action	16,601.55	
	Special Litigation Committee	50,329.15	
	Morgan Lewis & Bockius LLP		
	SEC Investigation	235,288.53	
	Fund Liquidation and Distribution	103,594.86	
	Securities Litigation	348,803.57	
	Insurance Recovery	56,077.43	
	Rowan Derivative Litigation	3,359.62	

FUND ACCOUNTING:		Detail	Total
	Special Litigation Committee	29,635.72	
	Books and Records Demands	6,633.90	
	Morris Nichols Arsht & Tunnell LLP		
	Delaware Derivative Action	4,914.55	
	<i>Total Third-Party Attorney/Litigation Expenses</i>	1,475,984.00	
Line 10f	<i>Tax Administrator Fees and Bonds</i>	-	
Line 10g	<i>Federal and State Tax Payments</i>	-	
	<b>Total Disbursements for Special Master Operations</b>		2,130,183.97
Line 11	<b>Disbursements for Distribution Expenses Paid by the Fund:</b>		
Line 11a	<i>Distribution Plan Development Expenses:</i>	-	
Line 11b	<i>Distribution Plan Implementation Expenses:</i>	-	
	<b>Total Disbursements for Distribution Expenses Paid by the Fund</b>		-
Line 12	<b>Disbursements to Court/Other:</b>		
Line 12a	<i>Investment Expenses/Court Registry Investment System Fees</i>	-	
Line 12b	<i>Federal Tax Payments</i>	-	
	<b>Total Disbursements to Court/Other:</b>		-
	<b>Total Funds Disbursed (Lines 9-11):</b>		2,130,183.97
Line 13	<b>Ending Balance (As of 06/30/2023):</b>	\$	593,030,386.50
Line 14	<b>Ending Balance of Fund - Net Assets:</b>		
Line 14a	<i>Cash &amp; Cash Equivaents</i>		593,030,386.50
Line 14b	<i>Investments</i>		-
Line 14c	<i>Other Assets or Uncleared Funds</i>		-
	<b>Total Ending Balance of Fund - Net Assets</b>	\$	593,030,386.50
<b>Other Supplemental Information:</b>			
		Detail	Total
Line 15	<b>Report of Items NOT To Be Paid by the Fund:</b>		
Line 15a	<b>Disbursements for Plan Administration Expenses Not Paid by the Fund:</b>		
Line 15a	<i>Plan Administration Expenses Not Paid by the Fund:</i>	\$	-
Line 15b	<i>Plan Implementation Expenses Not Paid by the Fund:</i>		-
Line 15c	<i>Tax Administrator Fees &amp; Bonds Not paid by the Fund</i>		-
	<b>Total Disbursements for Plan Administration Expenses Not Paid by the Fund:</b>		\$ -
Line 16	<b>Disbursements to Court/Other Not Paid by the Fund:</b>		
Line 16a	<i>Investment Expenses/CRIS Fees</i>	-	
Line 16b	<i>Federal Tax Payments</i>	-	
	<b>Total Disbursements to Court/Other Not Paid by the Fund:</b>		-
Line 17	<b>DC &amp; State Tax Payments</b>		-
Line 18	<b>No. of Claims:</b>		
Line 18a	<i># of Claims Received This Reporting Period</i>		-
Line 18b	<i># of Claims Received Since Inception of Fund</i>		-
Line 19	<b>No. of Claimants/Investors:</b>		
Line 19a	<i># of Claimants/Investors Paid This Reporting Period</i>		-
Line 19b	<i># of Claimants/Investors Paid Since Inception of Fund</i>		-

Special Master:

By:

(signature)

(printed name)

(title)

Date:



## EXHIBIT B

STANDARDIZED FUND ACCOUNTING REPORT for Infinity Q Diversified Alpha Fund - Cash Basis  
Special Master; Civil Court Docket No. 22 Civ 9608 (PKC)  
Reporting Period 04/01/2023 to 06/30/2023

FUND ACCOUNTING:		Detail	Total
Line 1	<b>Beginning Balance (as of 04/1/2023):</b>		\$ 588,021,608.91
	<b><i>Increases in Fund Balance:</i></b>		
Line 2	Business Income		-
Line 3	Cash and Securities		-
Line 4	Interest/Dividend Income - Money Market Dividends		7,035,524.90
Line 5	Business Asset Liquidation		-
Line 6	Personal Asset Liquidation		-
Line 7	Third-Party Litigation Income		-
Line 8	Miscellaneous - Other		-
	<b>Total Funds Available (Lines 1-8):</b>		<b>595,057,133.81</b>
	<b><i>Decreases in Fund Balance:</i></b>		
Line 9	Disbursements to Investors		-
Line 10	Disbursements for Special Master Operations		
Line 10a	<i>Disbursements to Special Master or Other Professionals</i>		
	1. Special Master	\$ 22,668.80	
	2. Special Litigation Committee	98,528.41	
	3. Finn Dixon Herling	402,784.88	
	<i>Total Disbursements for Special Master Operations</i>	<u>523,982.09</u>	
Line 10b	<i>Operating Expenses</i>		
	1. National Financial Services	342.49	
	2. Pershing LLC	17,159.35	
	3. RBC Capital Markets LLC	18.83	
	4. Stretto	695.00	
	5. UBS Financial Services	4,941.32	
	6. U.S. Bancorp Fund Services	3,624.23	
	<i>Total Operating Expenses</i>	<u>26,781.22</u>	
Line 10c	<i>Personal Asset Expenses</i>	-	
Line 10d	<i>Investment Expenses</i>	-	
Line 10e	<i>Third-Party Attorney/Litigation Expenses</i>		
	1. Cornerstone	128,174.00	
	2. Davis Wright Tremaine LLP		
	Hunter-Rosenstein	107,844.50	
	Glenmede Trust	38,345.50	
	Velissaris Government Actions	9,323.00	
	Shiavi	318.50	
	Oak Financial Group	273.00	
	Flint Hills Diversified Strategies LP	185.50	
	Carson Family 2013 Dynasty Trust	94.50	
	3. Duane Morris LLP		
	SEC Investigation	164,348.98	
	Class Action Matters	127,653.14	
	Special Litigation Committee	50,329.15	
	Corporate Advice	34,446.00	
	Delaware Derivative Action	16,601.55	
	Oak Financial Group	6,645.95	
	Evercore Investment Banking	3,092.55	
	4. Morgan Lewis & Bockius LLP		
	Securities Litigation	348,803.57	
	SEC Investigation	235,288.53	
	Fund Liquidation and Distribution	103,594.86	
	Insurance Recovery	56,077.43	
	Special Litigation Committee	29,635.72	
	Books and Records Demands	6,633.90	
	Rowan Derivative Litigation	3,359.62	

FUND ACCOUNTING:		Detail	Total
	5. Morris Nichols Arsht & Tunnell LLP		
	Delaware Derivative Action	4,914.55	
	<i>Total Third-Party Attorney/Litigation Expenses</i>	1,475,984.00	
Line 10f	<i>Tax Administrator Fees and Bonds</i>	-	
Line 10g	<i>Federal and State Tax Payments</i>	-	
	<b>Total Disbursements for Special Master Operations</b>		2,026,747.31
Line 11	<b>Disbursements for Distribution Expenses Paid by the Fund:</b>		
Line 11a	<i>Distribution Plan Development Expenses:</i>	-	
Line 11b	<i>Distribution Plan Implementation Expenses:</i>	-	
	<b>Total Disbursements for Distribution Expenses Paid by the Fund</b>		-
Line 12	<b>Disbursements to Court/Other:</b>		
Line 12a	<i>Investment Expenses/Court Registry Investment System Fees</i>	-	
Line 12b	<i>Federal Tax Payments</i>	-	
	<b>Total Disbursements to Court/Other:</b>		-
	<b>Total Funds Disbursed (Lines 9-11):</b>		2,026,747.31
Line 13	<b>Ending Balance (As of 06/30/2023):</b>		\$ 593,030,386.50
Line 14	<b>Ending Balance of Fund - Net Assets:</b>		
Line 14a	<i>Cash &amp; Cash Equivalents</i>		593,030,386.50
Line 14b	<i>Investments</i>		-
Line 14c	<i>Other Assets or Uncleared Funds</i>		-
	<b>Total Ending Balance of Fund - Net Assets</b>		\$ 593,030,386.50
Other Supplemental Information:		Detail	Total
	<b>Report of Items NOT To Be Paid by the Fund:</b>		
Line 15	<b>Disbursements for Plan Administration Expenses Not Paid by the Fund:</b>		
Line 15a	<i>Plan Administration Expenses Not Paid by the Fund:</i>	-	
Line 15b	<i>Plan Implementation Expenses Not Paid by the Fund:</i>	-	
Line 15c	<i>Tax Administrator Fees &amp; Bonds Not paid by the Fund</i>	-	
	<b>Total Disbursements for Plan Administration Expenses Not Paid by the Fund:</b>		-
Line 16	<b>Disbursements to Court/Other Not Paid by the Fund:</b>		
Line 16a	<i>Investment Expenses/CRIS Fees</i>	-	
Line 16b	<i>Federal Tax Payments</i>	-	
	<b>Total Disbursements to Court/Other Not Paid by the Fund:</b>		-
Line 17	<b>DC &amp; State Tax Payments</b>		-
Line 18	<b>No. of Claims:</b>		
Line 18a	<i># of Claims Received This Reporting Period</i>		-
Line 18b	<i># of Claims Received Since Inception of Fund</i>		-
Line 19	<b>No. of Claimants/Investors:</b>		
Line 19a	<i># of Claimants/Investors Paid This Reporting Period</i>		-
Line 19b	<i># of Claimants/Investors Paid Since Inception of Fund</i>		-

Special Master:

By:

(signature)

(printed name)

(title)

Date:

## EXHIBIT C-1

**Unpaid Expenses**

Payee	Description	Inv #	Amount	month	
Davis Wright Tremaine	May 2023 Hunter-Rosenstein	7003663	8,814.00	5	
Davis Wright Tremaine	May 2023 Litigation	7003665	136.50	5	
Davis Wright Tremaine	May 2023 Velissaris Government Actions	7003662	237.00	5	
Davis Wright Tremaine	May 2023 Litigation	7003664	2,728.00	5	
Davis Wright Tremaine	May 2023 Litigation	7003666	136.50	5	
Davis Wright Tremaine	June 2023 Velissaris Government Actions	7010217	790.00	6	
Davis Wright Tremaine	June 2023 Litigation	7010218	6,393.50	6	
Davis Wright Tremaine	June 2023 Hunter-Rosenstein	7010216	16,052.50	6	
Finn Dixon Herling	May 2023 Independent Trustee	172026	5,244.00	5	
Finn Dixon Herling	May 2023 Representation SLC	172220	48,476.20	5	
Fred Naddaff	Expert Opinion-June 2023	IQ62301	28,250.00	6	
John Siciliano	May 2023 Independent Trustee	May-23	6,670.40	5	
John Siciliano	June 2023 Independent Trustee	Jun-23	18,260.00	6	
Morgan Lewis	April 2023 Securities Litigation	5192635	48,138.28	4	
Morgan Lewis	May 2023 SLC Matters	5192634	4,060.45	4	
Morgan Lewis	May 2023 Securities Litigation	5219580	69,611.98	5	
Morgan Lewis	May 2023 Fund Liquidation & Distribution	5216264	4,211.75	5	
Morgan Lewis	May 2023 SEC Investigation	5216263	20,172.98	5	
Morgan Lewis	May 2023 Rowan Shareholder Litigation	5216261	2,189.08	5	
Morgan Lewis	May 2023 SLC Matters	5216256	835.97	5	
Morgan Lewis	May 2023 Insurance Recovery	5216258	1,676.07	5	
National Financial Services	May 2023 NTF Servicing Fees	337683	3.00	5	
National Financial Services	May 2023 TF Servicing Fees	338056	83.26	5	
National Financial Services	June 2023 NTF Servicing Fees	338847	2.93	6	
National Financial Services	June 2023 TF Servicing Fees	339479	80.60	6	
RBC	May 2023 Servicing Fees	0523308522	4.22	5	
RBC	June 2023 Servicing Fees	0623308522	4.21	6	
Stretto	April 2023 Website Services	8351	487.50	4	
Stretto	May 2023 Website Services	8430	560.00	5	
UBS	May 2023 Servicing Fees	0523308523	1,258.67	5	
UBS	June 2023 Servicing Fees	0623308523	1,258.67	6	
U.S. Bancorp Fund Services	March 2023 Expenses	20230331-2437-A	2,861.83	3	
U.S. Bancorp Fund Services	April 2023 Expenses	20230430-2437-A	1,828.47	4	
U.S. Bancorp Fund Services	May 2023 Expense	20230531-2437-A	1,693.30	5	
	total		<u>303,211.82</u>		
					Total accrued
					<u>2,167,024.56</u>

## EXHIBIT C-2

**Partial Paid - Open Balance**

Payee	Description	Inv #	Amount	month
Duane Morris	5/26/22 Dated SEC Investigation	2908672	82,599.08	5 SEC
Finn Dixon Herling	January 2023 FDH Representation SM	170376	1,221.00	1
Finn Dixon Herling	January 2023 Independent Trustee	170377	809.60	1
Finn Dixon Herling	January 2023 FDH Representation SLC	170416	19,799.80	1
Finn Dixon Herling	January 2023 Special Master	170446	1,803.20	1
Finn Dixon Herling	February 2023 FDH Representation SLC	170690	14,949.10	2
Finn Dixon Herling	February 2023 FDH Representation SM	170710	2,199.90	2
Finn Dixon Herling	February 2023 Special Master	170719	2,741.60	2
Finn Dixon Herling	February 2023 Independent Trustee	170720	2,336.80	2
Finn Dixon Herling	March 2023 Representation Special Master	171005	2,152.80	3
Finn Dixon Herling	March 2023 Representation SLC	171008	2,005.60	3
Finn Dixon Herling	March 2023 Representation SLC	171280	24,279.50	3
Finn Dixon Herling	March 2023 Representation Special Master	171281	1,758.90	3
Finn Dixon Herling	April 2023 Independent Trustee	171628	1,692.80	4
Finn Dixon Herling	April 2023 Special Master	171630	772.80	4
Finn Dixon Herling	April 2023 Representation SLC	171780	13,823.10	4
Finn Dixon Herling	April 2023 Representation Special Master	171779	234.30	4
John Siciliano	January 2023 Independent Trustee	Jan-23	899.20	1
John Siciliano	February 2023 Independent Trustee	Feb-23	3,440.00	2
John Siciliano	March 2023 Independent Trustee	Mar-23	2,467.20	3
John Siciliano	April 2023 Independent Trustee	Apr-23	1,933.33	4
			<u>183,919.61</u>	

## EXHIBIT C-3



Payee	Description	Inv #	Amount	Month	Matter
Duane Morris	May 2022 Class Action Matters	2920001	11,689.89	5	CA
Duane Morris	6/29/22 Dated SEC Investigation	2920002	86,214.33	5	SEC
Duane Morris	May 2022 Delaware Derivative Action	2920003	596.00	5	DD
Duane Morris	May 2022 SLC Matters	2920004	2,118.50	5	SLC
Duane Morris	June 2022 Class Action Matters	2928030	8,133.90	6	CA
Duane Morris	7/20/22 Dated SEC Investigation	2928032	31,754.73	6	SEC
Duane Morris	7/20/22 Dated OakFinancial Litigation	2928033	44.00	6	OAK
Duane Morris	June 2022 Delaware Derivative Action	2928034	17.10	6	DD
Duane Morris	June 2022 SLC Matters	2928035	4,991.00	6	SLC
Duane Morris	July 2022 Class Action Matters	2941293	6,171.96	7	CA
Duane Morris	8/30/22 Dated SEC Investigation	2941294	313,408.61	7	SEC
Duane Morris	8/30/22 Dated OakFinancial Litigation	2941297	148.00	7	OAK
Duane Morris	July 2022 Delaware Derivative Action	2941298	48.10	7	DD
Duane Morris	July 2022 SLC Matters	2941300	903.50	7	SLC
Duane Morris	August 2022 Class Action Matters	2951949	23,838.64	8	CA
Duane Morris	9/29/22 Dated SEC Investigation	2951950	25,967.71	8	SEC
Duane Morris	9/29/22 Dated OakFinancial Litigation	2951951	15.00	8	OAK
Duane Morris	August 2022 Delaware Derivative Action	2951952	390.60	8	DD
Duane Morris	September 2022 Class Action Matters	2962622	8,021.80	9	CA
Duane Morris	10/28/22 Dated SEC Investigation	2962623	7,137.22	9	SEC
Duane Morris	September 2022 Delaware Derivative Action	2962625	20,259.20	9	DD
Duane Morris	September 2022 SLC Matters	2962628	25,002.00	9	SLC
Duane Morris	October 2022 Class Action Matters	2974961	5,601.80	10	CA
Duane Morris	11/30/22 Dated SEC Investigation	2974962	8,988.10	10	SEC
Duane Morris	11/30/22 Dated OakFinancial Litigation	2974964	512.60	10	OAK
Duane Morris	11/30/22 Dated Evercore Litigation	2974965	828.00	10	EVER
Duane Morris	October 2022 Delaware Derivative Action	2974966	776.90	10	DD
Duane Morris	October 2022 SLC Matters	2974968	199,318.27	10	SLC
Duane Morris	November 2022 Class Action Matters	2977596	4,863.51	11	CA
Duane Morris	12/6/22 Dated SEC Investigation	2977597	19,656.50	11	SEC
Duane Morris	November 2022 SLC Matters	2977600	117,290.92	11	SLC
Duane Morris	December 2022 Class Action	2991657	19,291.28	12	CA
Duane Morris	December 2022 Delaware Derivative Action	2991658	6,143.90	12	DD
Duane Morris	December 2022 Special Litigation Committee	2991661	201,650.68	12	SLC
Duane Morris	December 2022 Carson Family 2013 Dynasty Trust	2991662	6,631.00	12	CF
Duane Morris	December 2022 Class Action	2991663	5,942.00	12	CA
Duane Morris	December 2022 Class Action	2991664	5,222.50	12	CA
Duane Morris	January 2023 SEC Investigation	2999380	2,823.00	1	SEC
Duane Morris	January 2023 SEC Investigation	2999381	168.50	1	OAK
Duane Morris	January 2023 Class Action A	3002570	8,907.80	1	CA
Duane Morris	January 2023 Class Action	3002577	27,267.20	1	CA
Duane Morris	January 2023 Special Litigation Committee A	3002592	6,433.04	1	SLC
Duane Morris	January 2023 Special Litigation Committee	3002595	4,886.06	1	SLC
Duane Morris	January 2023 Litigation A	3002596	6,282.50	1	CF
Duane Morris	January 2023 Litigation	3002597	1,002.00	1	CF
Duane Morris	January 2023 Litigation A	3002604	6,357.50	1	GLEN
Duane Morris	January 2023 Litigation	3002606	1,167.00	1	GLEN
Duane Morris	January 2023 Litigation A	3002619	6,066.00	1	FH
Duane Morris	January 2023 Litigation	3002622	944.00	1	FH
Duane Morris	February 2023 Class Action	3014594	13,967.80	2	CA
Duane Morris	February 2023 SEC Investigations	3014595	61,421.30	2	SEC
Duane Morris	February 2023 Litigation	3014596	1,800.00	2	EVER
Duane Morris	February 2023 Delaware Derivative Action	3014597	17,306.30	2	DD
Duane Morris	February 2023 Litigation	3014598	9,910.00	2	CF
Duane Morris	February 2023 Litigation	3014599	10,555.00	2	GLEN
Duane Morris	February 2023 Litigation	3014600	9,833.00	2	FH
Duane Morris	February 2023 Special Litigation Committee	3016934	2,962.50	2	SLC
Duane Morris	March 2023 Class Action	3029067	9,478.20	3	CA
Duane Morris	March 2023 SEC Investigations	3029068	107,452.80	3	SEC
Duane Morris	March 2023 Litigation	3029071	225.00	3	EVER
Duane Morris	March 2023 Delaware Derivative Action	3029072	1,384.50	3	DD
Duane Morris	March 2023 Special Litigation Committee	3029073	10,756.27	3	SLC
Duane Morris	March 2023 Litigation	3029074	1,865.50	3	CF
Duane Morris	March 2023 Litigation	3029075	1,444.50	3	GLEN
Duane Morris	March 2023 Litigation	3029076	1,093.50	3	FH
Duane Morris	April 2023 Class Action	3040839	25,367.62	4	CA
Duane Morris	April 2023 SEC Investigation	3040840	35,656.40	4	SEC
Duane Morris	April 2023 Delaware Derivative Action	3040841	3,306.50	4	DD
Duane Morris	April 2023 Special Litigation Committee	3040842	459.70	4	SLC
Duane Morris	April 2023 Litigation	3040843	1,627.50	4	CF
Duane Morris	April 2023 Litigation	3040844	1,710.00	4	GLEN
Duane Morris	April 2023 Litigation	3040845	1,387.50	4	FH
Duane Morris	May 2023 Class Action	3051007	11,880.50	5	CA
Duane Morris	May 2023 SEC Investigation	3051008	175.30	5	SEC
Duane Morris	May 2023 Litigation	3051009	173.00	5	OAK
Duane Morris	May 2023 Delaware Derivative Action	3051010	2,442.00	5	DD
Duane Morris	May 2023 Special Litigation Committee	3051011	78,974.09	5	SLC
Duane Morris	May 2023 Litigation	3051012	990.00	5	CF
Duane Morris	May 2023 Litigation	3051013	1,567.50	5	GLEN
Duane Morris	May 2023 Litigation	3051014	825.00	5	FH
Total			<u>1,679,893.13</u>		